

The logo for South Tynedale Railway is a horizontal orange bar with rounded ends, containing the text "SOUTH TYNEDALE RAILWAY" in white, uppercase, sans-serif font. The bar is set against a background of two slightly larger, lighter orange rounded rectangular shapes, one above and one below it.

SOUTH TYNEDALE RAILWAY

RM3H & The STRPS
A Short Guide for Volunteers
Andy Hallington – Trustee
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Who is the ORR?



ORR protects the interests of rail and road users. We are improving the safety, value, and performance of railways and roads, today and in the future.

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ORR sets out its assessment of Network Rail's spending plan

We call for greater focus on performance and renewing core assets

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What Does the ORR Do? - 1

- Economic Duties across the Road & Rail Sectors.
 - Financial Performance of Train Operators.
 - Protect interests of consumers.
 - Ensure operators maintain access for disabled travellers.
 - Etc.
- Health & Safety Duties.
 - Securing the proper construction and safe operation of railways, tramways locomotives, rolling stock or other vehicles used, or to be used, on such systems.
 - Protecting the public (whether or not they are passengers) from personal injury and other risks arising from the construction and operation of such systems.
 - Protecting persons at work from personal injury and other risks so arising.

What does the ORR Do? - 2

- Enforces UK Health & Safety Law.
- Enforces the Rail Operations & Other Guided Transport Systems (Safety) Regs 2006 (ROGS).
- UK Team of Inspectors.
- Reactive Visits following reported Incidents.
- Proactive Visits aligned to ORR priority issues.

What can the ORR DO?

- Following a site visit to a railway they can:
 - Issue an Improvement Notice with a time scale for required action to be taken.
 - Issue a Prohibition Notice which would result in the railway being able to operate until the issues raised have been resolved.
 - Interview Trustees & Volunteers under PACE conditions.
 - Initiate Court Proceedings.
 - Recover their costs in the event of any of the above occurring.

RM3H

RM³ 2019



The
Risk Management
Maturity Model

Topic set 1

Heritage Railways

Version 1.1 2021



What is RM3H? - 1

- RM3H is designed to assist determining the maturity & effectiveness of the STRPS SMS, encouraging the whole organisation to achieve excellence in Health and Safety Management.
- Not a Safety Management System (SMS) but forms an integral part of the STRPS SMS.
- RM3H provides criteria for measuring management capability against 5 Maturity levels across 5 areas that contain a total of 10 Topics each of which are essential areas of the STRPS SMS

WHAT IS RM3H? - 2

- 5 Areas of RM3H
 - Health & Safety Policy, Leadership, & Board Governance.
 - Organising for Control & Communication.
 - Securing cooperation, competence & development of staff at all levels.
 - Planning and implementing risk controls through co-ordinated management arrangements.
 - Monitoring Audit and Review.

WHAT IS RM3H - 3

- RM3H – Maturity Levels
- Objective assessment of STRPS H & S Compliance following gathering & evaluation of evidence.
- 5 Levels:
 - Excellence.
 - Predictable.
 - Standardised.
 - Managed. **(Minimum for STRPS).**
- -----
 - AD-Hoc. **(Not Achieving Legal Compliance).**

What is the STRPS Going to Do

- Start the RM3H process in 2023 by the Management Group:
- Determining order in which topics will be addressed.
- Undertake the information gathering.
- Complete the compliance assessment.
- Develop a corrective action plan.
- Take the out-put of the above to the STRPS Board for discussion and agreement, and following agreement from the Board, share the resulting plans with the Volunteer team.

Why does the STRPS Need to Do This?

- ORR regard having a commitment to RM3H and a program to deliver it, as a minimum requirement that a heritage railway has in place to assess, manage, and develop its Health & Safety Management.
- Failure to have RM3H processes in place will significantly increase the likelihood of notices being issued or prosecutions being made by the ORR following a visit.

Why do STRPS Volunteers need to know about RM3H?

- RM3H is a significant piece of work which will establish the current STRPS position on Health & Safety compliance and may result in changes to existing, and new procedures, as the project proceeds.
- Volunteers need to know that the Board has committed the STRPS to the project and is looking to volunteers to support the project as it develops in the months ahead.
- Achieving a meaningful improvement in H & S compliance is a key element in ensuring that the STRPS continues to operate in future years – RM3H plays a significant role in this securing this objective.
- Further information will be given to volunteers as the RM3H project develops.